

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	<b>81455-5510</b>	Total Pages	<b>18</b>
		First Named Inventor or Application Identifier			
		<b>Denis JACOBY</b>			
		Express Mail Label No.	<b>EV 346 809 910US</b>		

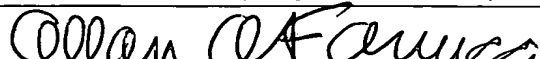
22386 U.S. PTO  
10/688297  
101703

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> BOX PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450
---	--

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/13) <i>(Submit an original and a duplicate for fee processing)</i>  2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27.  3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <u>16</u></span> <i>(preferred arrangement set forth below)</i> -Descriptive title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed Sponsored R&D -Reference to Sequence Listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure  4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <u>    </u></span>  5. Oath or Declaration <span style="float: right;">Total Pages <u>2</u></span> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>  8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper (4 pages) c. <input type="checkbox"/> Statements verifying identity of above copies.
---	--

<b>ACCOMPANYING APPLICATION PARTS</b>
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified copy of Priority Document(s) <span style="float: right;"><i>(if foreign priority is claimed)</i></span> 16. <input type="checkbox"/> Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input type="checkbox"/> Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of International Application No. PCT/IB02/01839 filed May 21, 2002. Prior Application Information: Examiner: <u>          </u> Group Art Unit: <u>          </u> . <b>The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.</b>	
--	--

<b>19. CORRESPONDENCE ADDRESS</b>					
<b>CUSTOMER NO. 28765</b>					
Customer Number or Bar Code					
NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	212-294-3311	FAX	212-294-4700	
Name (Print/Type)		Allan A. Fanucci (Reg. No. 30,256)			
Signature				Date	10/17/03

**REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION**

Docket Number	Anticipated Classification of this Application		Prior Application Examiner	Art Unit
<b>81455-5510</b>	Class:	Subclass:		

Address to:

**Commissioner for Patents****P.O. Box 1450****Alexandria, Virginia 22313-1450**

This is a request for filing a continuation application under 37 CFR 1.53(b) of pending prior international application number PCT/IB02/01839 on May 21, 2002, entitled: **CATALYTIC SYSTEM FOR ALDOL REACTIONS**, which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16 (c))	10- 20	0	x \$ 18	\$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2- 3	0	x \$ 86	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$	\$
				BASIC FEE (37 CFR 1.16(a))	\$ 770.00
				Total of above Calculations =	770.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27)				
				Total =	\$ 770.00

- Enclosed are the specification and claims.
  - ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 50-1814. A duplicate of this sheet is enclosed.
  - ☐ Amend the specification by inserting before the first line the sentence:
  - ☒ A newly executed declaration under 37 CFR 1.63 is enclosed.
  - ☒ Priority of application number PCT/IB01/00902 filed May 22, 2001 is claimed under 35 U.S.C. 119(a)-(d).
- ☒ Please direct all communication for this matter to **Customer Number 28765**.

Date

10/17/03



Allan A. Fanucci, Reg. No. 30,256

**WINSTON & STRAWN LLP**  
 CUSTOMER NO. 28765

212-294-3311

EXPRESS MAIL LIST

To:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on **October 17, 2003**

Express Mail No. <b>EV 346 809 910 US</b>		
Attorney Docket No.	Appln. Serial No.	Items - Documents filed on <b>October 17, 2003</b>
81455-5510	<b>To be Assigned</b>	<b>Utility Patent Application Transmittal (PTO /SB/05) Specification 16 pages including 10 claims Application Fee transmittal Sheet (in duplicate) Executed Inventors' Declaration Executed Power of Attorney By Assignee Executed Assignment w/Recordation Cover Sheet Information Disclosure Statement, Form PTO 1449, 3 refs.</b>

*Please acknowledge receipt of these items as received by returning  
the enclosed postcards with the date of receipt of October 17, 2003*